



BUCHAREST STOCK EXCHANGE

Provisions regarding suspension from / resuming trading of structured products (certificates) having as underlying financial instruments admitted for the first time on the BVB regulated market

➤ *Suspending from trading of certificates having as underlying financial instruments admitted for the first time on the BVB regulated market*

If the financial instruments which are admitted for the first time on the BVB regulated market shall be suspended from trading, the corresponding structured products (certificates) having as underlying those financial instruments shall also be suspended, according to the BVB regulations.

➤ *Resuming trading of certificates having as underlying financial instruments admitted for the first time on the BVB regulated market*

If the financial instruments admitted for the first time on the BVB regulated market shall be resumed to trading, the corresponding structured products (certificates) having as underlying those financial instruments shall also be resumed to trading, according to the BVB regulations.

Keeping in mind that trading structured products is similar to trading shares, resuming the corresponding structured products shall be performed according to art. 6, paragraphs (2) and (3) of Title III, Book I of the BVB Rulebook, as follows:

Art. 6 [...]

(2) For the situations stipulated in paragraph 1, letters a) and b), the operations of suspending from / resuming to trading of a symbol shall be performed as instructed by the specialized Departments of BVB, at the moment mentioned in their instructions, after receiving them, in the following conditions:

[...]

b) resuming to trading of a symbol for the share type financial instruments – by passing the symbol in its main market through the Pre-Open state for a 15 minutes period, followed by the usual succession of market statuses in which the symbol is traded;

c) resuming trading of a symbol for financial instruments other than shares – by putting the symbol in the Open status, followed by the usual succession of the market statuses in which the symbol is traded.

(3) For the cases mentioned in paragraph 2, letters b) and c), if the remaining available time period for the Open status of the symbol-market component for the respective trading session is shorter than 15 minutes, resuming trading of the financial instrument shall be performed in the next trading session.